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Review Paper

Normal operator analogue in Banach space

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In this article we introduce the new class of operators in Banach space with the main properties of normal operators in Hilbert space.

Earlier, In [1] it was introduced and researched the class of self-adjoint operators in Banach space.

Previously, we give some definitions and notions.

If for some
$$y \in B$$
 at all $x \in D_A \subset B$ and any real numbers t the following equality
 $\|Ax + ty\|^2 + \|x - tz\|^2 = \|Ax - ty\|^2 + \|x + tz\|^2$ (1)

is true, then we have the operation when the element $y \in B$ is matched the element $z \in B$ [1].

Theorem 1. If operator A is defined on everywhere dense set in B, then the matching, defined above, is definitely defined.

Proof.[1] Let be the statement of Theorem 1 is incorrect, then for some element \mathcal{Y} there are such two elements

 z_1 and z_2 . that the following ratios

$$\|Ax + ty\|^{2} + \|x - tz_{1}\|^{2} = \|Ax - ty\|^{2} + \|x + tz_{1}\|^{2}$$
(2)
and
$$\|Ax - ty\|^{2} + \|x - tz_{1}\|^{2} = \|Ax - ty\|^{2} + \|x + tz_{1}\|^{2}$$
(2)

$$\|Ax + ty\|^{2} + \|x - tz_{2}\|^{2} = \|Ax - ty\|^{2} + \|x + tz_{2}\|^{2}$$
(3)

are true at all $x \in D_A \subset B$ and real numbers t.

Subtracting from (2) the ratio (3), we have

$$\|x + tz_1\|^2 - \|x - tz_2\|^2 = \|x + tz_1\|^2 - \|x + tz_2\|^2$$
⁽⁴⁾

Because (4) is correct for all X, then, assuming $x = tz_1$ we have,

$$-4 \|z_1\|^2 = \|z_1 - z_2\|^2 - \|z_1 + z_2\|$$
⁽⁵⁾

and, assuming $x = tz_2$, we have

$$-4 \|z_2\|^2 = \|z_1 - z_2\|^2 - \|z_1 + z_2\|$$
(6)

Compare (5) and (6), then we get

$$||z_1|| = ||z_2||$$
 (7)

Further, from (5), taking into account (7),

$$\begin{aligned} \left\| z_1 - z_2 \right\|^2 &= -4 \left\| z_1 \right\|^2 + \left\| z_1 + z_2 \right\|^2 \le -4 \left\| z_1 \right\|^2 + \left(\left\| z_1 \right\| + \left\| z_2 \right\| \right)^2 \quad \text{and} \\ \left\| z_1 - z_2 \right\| &= 0 \\ or \end{aligned}$$

$$z_1 = z_2$$

Definition 1.

This operation is called an adjoint operator to the operator A and it is denoted A^* . **Definition 2.**

If
$$A = A^*_{,and} \|AAx + ty\|^2 + \|x - tAy\| = \|Ax - ty\|^2 + \|x + tAy\|^2_{(8)}$$

then operator A is called a self-adjoint operator in Banach space.

The expression $||Ax + y||^2 + ||x - Ay||^2 = ||Ax - y||^2 + ||x + Ay||^2$ (9) is an equvalent to the definition (8).

Definition 3. If A^* is an adjoint operator to the operator A^* , accord to the Definition 1, then operator A, is called *the normal operator in Banach space if* $AA^* = A^*A$ and

$$\|Ax + ty\|^{2} + \|x - tA^{*}y\|^{2} = \|Ax - ty\|^{2} + \|x + tA^{*}y\|^{2}_{(10)}$$

The definition of adjoint operator, given by the formula (10) is an equ

The definition of adjoint operator , given by the formula (10), is an equivalent of the definition of adjoint operator, given by the formula

$$\|Ax + y\|^{2} + \|x - A^{*}y\|^{2} = \|Ax - y\|^{2} + \|x + A^{*}y\|^{2}_{(11)}$$

Later, when proving subsequent theorems, we use any of the definitions for the sake of convenience.

Theorem 2. Norms of operators A and its adjoint operator A^* , defined with help of formula (10) in Banach space, coincide. Proof. The formulas

$$\|AA^{*}x + ty\|^{2} + \|A x - tAy\|^{2} = \|AA^{*}x - ty\|^{2} + \|A x + tAy\|^{2}$$
(12)
$$\|AA^{*}x + ty\|^{2} + \|A^{*}x - tA^{*}y\|^{2} = \|AA^{*}x - ty\|^{2} + \|A^{*}x + tA^{*}y\|^{2}$$
(13)

are true. Subtract equality (13) from (12) , then we get

$$\left[(1+t)^{2} - (1-t)^{2}\right] \left\| A^{*}x \right\|^{2} = \left[(1+t)^{2} - (1-t)^{3}\right] \left\| Ax \right\|^{2}$$

$$_{\mathrm{or}}\left\|A^{*}x\right\|=\left\|Ax\right\|$$

Last means the norms of operator A and its adjoint operator A^* coincide. Theorem 2 is proven.

Theorem 3. The whole n – degree of a normal operator in Banach space is a normal operator.

Proof. We carry out the proof by the method of mathematical induction. Let be first n=2 .So operator A is a normal, then

$$\|A(Ax) + ty\|^{2} + \|Ax - tA^{*}y\|^{2} = \|A(Ax) - ty\|^{2} + \|Ax + tA^{*}y\|^{2}$$
(14)
and also $\|Ax - tA^{*}y\|^{2} + \|x + t(A^{*})^{2}y\|^{2} = \|Ax + tA^{*}y\|^{2} + \|x - t(A^{*})^{2}y\|^{2}$ (15)

Substituted into (14) expression for $||AAx - tA^*y||^2$ from (15), we get

$$\|A(Ax) + ty\|^{2} + \|Ax + tA^{*}y\|^{2} - \|x + tA^{2}y\|^{2} + \|x - tA^{*}y\|^{2} = \|A^{2}x - ty\|^{2} + \|Ax + tA^{*}y\|^{2}$$

$$\|A^{2}x + ty\|^{2} + \|x - tA^{*}y\|^{2} = \|A^{2}x - ty\|^{2} + \|x + tA^{*}y\|^{2}$$
(16)

Further, we suppose that our statement is fair for k = m, then we proof the validity of this statement for k = m + 1 by the manner, described above.

Let the bounded inverse operator
$$A^{-1}$$
 to the operator A exists . Put in formula
 $||Ax - ty||^2 + ||x + tA^*y||^2 = ||Ax + ty||^2 + ||x - tA^*y||^2$
 $Ax = z$, and $A^*y = r$, then
 $||z - t(A^*)^{-1}r||^2 + ||A^{-1}z = tr||^2 = ||A^{-1}z + tr||^2 + ||z - t(A^*)^{-1}r||^2$
Thus any whole degree of normal operator is the normal operator.

Theorem 3 is proven.

Theorem 4. The product of two normal commuting operators A and B in Banach space is the normal operator, or

$$||ABx + ty||^2 + ||x - tB^*A^*y|| = ||AB x - ty||^2 + ||x + tB^*A^*y||^2$$

Proof. From the conditions of the Theorem 4

we have
$$||Ax - ty||^2 + ||x + tA^*y||^2 = ||Ax + ty||^2 + ||x - tA^*y||^2$$

 $||Bx - ty||^2 + ||x + tB^*y||^2 = ||Bx + ty||^2 + ||x - tB^*y||^2$ and $AB = BA$

Besides, sequentially using the normality and also commutation of these operators A and B , we have

$$\|ABx + ty\|^{2} + \|Bx - tA^{*}y\| = \|AB \ x - ty\|^{2} + \|Bx + tA^{*}y\|^{2}_{(17)}$$
$$\|Bx - tA^{*}y\|^{2}\|x + tB^{*}A^{*}y\| = \|B \ x + tA^{*}y\|^{2} + \|x - tB^{*}A^{*}y\|^{2}_{(18)}$$

Taking into account (18) in (17) and commutation of operators A and B, we get, that $(AB)^*$ is an adjoint operator to the operator AB. Besides, this product AB is a normal operator.

$$\|ABx + ty\|^{2} + \|x - tB^{*}A^{*}y\| = \|AB \ x - ty\|^{2} + \|x + tB^{*}A^{*}y\|^{2}$$

The operator

$$(AB)^{*} \text{ is also the adjoint operator to the operator } AB$$
$$\|ABx + ty\|^{2} + \|x - tB^{*}A^{*}y\| = \|AB \ x - ty\|^{ba2} + \|x + tB^{*}A^{*}y\|^{2}$$
$$= t \quad (AB)^{*}AB = AB(AB)^{*}$$

Further, $(AB)^*AB = AB(AB)^*$

Because these operators A and B are commuting, their product AB is a normal operator. Theorem 4 is proven.

The statement of Theorem 3 follows from the results of the Theorem4.

Theorem 5. The eigenvectors corresponding to eigenvalues with different modules of the normal in Banach space operator A are orthogonal in the described below sense. (Elements x, y of Banach space B are orthogonal if for all $t(-\infty \le t \le \infty)$. ||x+ty|| = ||x-ty||

Proof. The proof of this Theorem 5 is similar to the proof of the similar statement for the self-adjoint analoque operator in Banach space.[1].

Let be
$$\lambda$$
 and μ two eigenvalues of normal in Banach space operator A with different modules ,
 $Ax = \lambda x$
 $Ay = \mu y$ and for all real numbers t :
 $\|Ax + ty\|^2 + \|x - tA^*y\|^2 = \|Ax - ty\|^2 + \|x - tA^*y\|^2$
 $\|\lambda x + ty\|^2 + \|x - t\bar{\mu}y\|^2 = \|\lambda x - ty\|^2 + \|x + t\bar{\mu}y\|^2$
or, if instead f y we put λy , then
 $\|\lambda x + \lambda ty\|^2 + \|x - t\lambda\mu y\|^2 = \|\lambda x - \lambda ty\|^2 + \|x + t\lambda y\|^2$
 $|\lambda|^2 \{x + ty\|^2 - \|x - ty\|^2\} = \|x + t\lambda\mu y\|^2 - \|x - t\lambda\mu y\|^2$
Similarly, $|\mu|^2 \{x + ty\|^2 - \|x - ty\|^2\} = \|ty + \lambda\mu tx\|^2 - \|ty - \lambda\mu tx\|^2$
Then, taking into account last equality, we get
 $\frac{|\lambda|^2}{|\mu|^2} = \frac{\|x + t\lambda\mu y\|^2 - \|x - t\lambda\mu y\|^2}{\|ty - \lambda\mu x\|^2} = \frac{\|x + t\lambda^2 \mu^2 y\|^2 - \|x - \lambda^2 \mu^2 ty\|^2}{\|t\lambda\mu y + \lambda\mu x\|^2 - \|ty - \lambda\mu x\|^2} = \frac{\|x + t\lambda^2 \mu^2 y\|^2 - \|x - t\lambda^2 \mu^2 y\|^2}{|\lambda^2| \{\|ty + \lambda\mu x\|^2 - \|ty - \lambda\mu x\|^2\}}$

for
$$\lambda$$
, μ and n the following evaluation for $\frac{|\lambda|^2}{|\mu|^2}$

$$\frac{|\lambda|^2}{|\mu|^2} = \frac{\|x + t\lambda^{n+1}\mu^{n+1}y\|^2 - \|x - t\lambda^{n+1}\mu^{n+1}y\|^2}{|\lambda^{2n}|(\|ty + \lambda\mu x\|^2 - \|ty - \lambda\mu x\|^2)} = \frac{(\|x + t\lambda^{n+1}\mu^{n+1}y\| - \|x - t\lambda^{n+1}\mu^{n+1}y\|)(\|x + t\lambda^{n+1}\mu^{n+1}y\| + \|\lambda - t\lambda^{n+1}\mu^{n+1}y\|)}{|\lambda^{2n}|(\|ty + \lambda\mu x\|^2 - \|ty - \lambda\mu x\|^2)}$$

are fair,

$$\frac{\left|\lambda\right|^{2}}{\left|\mu\right|^{2}} \leq \frac{2\left|t\right|\left|\lambda\right|^{n+1}\left|\mu\right|^{n+1}\left(2\left||x||+2\left|t\right|\left|\lambda\right|^{n+1}\left|\mu\right|^{n+1}\left||y|\right|\right)}{\left|\lambda^{2n}\right|\left|\left||ty+\lambda\mu x\right||^{2}-\left||ty-\lambda\mu x\right||^{2}\right|}$$
(19)

$$\frac{\left|\lambda\right|^{2}}{\left|\mu\right|^{2}} \leq \frac{2\|x\|\left(2\|x\|+2|t\|\lambda|^{n+1}|\mu|^{n+1}\|y\|\right)}{\left|\lambda^{2n}\|\left(\|ty+\lambda\mu x\|^{2}-\|ty-\lambda\mu x\|^{2}\right)}$$
(20)

Expression $\frac{|\lambda|}{|\mu|^2}$ may be finite, then and only then when at all real numbers t

 $\begin{aligned} \|x + ty\| &= \|x - ty\| \\ \text{Really, for some real } \boldsymbol{t} \quad \text{denominator in} \quad \frac{|\lambda|^2}{|\mu|^2} \text{ is not equal to zero, then at } |\mu| < |\lambda| < 1 \\ |\lambda| < 1 \quad \text{in (19), and } |\mu| < |\lambda| (|\lambda| > 1) \text{ in (20) expression} \quad \frac{|\lambda|^2}{|\mu|^2} \quad \text{is less any given little number. Last} \end{aligned}$

statement is not possible because λ , μ are finite numbers. Consequently, the right parts of unequalities (19) abd (20) cannot be e in any proximity to zero. Last means that the statement of the Theorem 5 is proven. **Theorem 6**. If ||e|| = 1 and A is a normal operator in *B*, then, $||A(e)||^2 \le ||A^2e||$

Proof. In [1] the case of self-adjoint operator acting in Banach space is considered.

Let be A is a normal operator in Banach space. We have the following equalities

$$\|AA^*e + e\|^2 + \|Ae - Aee\|^2 = \|AA^*e - e\|^2 + \|Ae + Aee\|^2$$

or $||AA^*e + e||^2 = ||AA^*e - e||^2 + ||Ae + Aee||^2$ Further

$$4\|Ae\|^{2} = (\|AA^{*}e + e\| + \|AA^{*}e - e\|)(\|(AA^{*}e + e\| - \|(AA^{*}e - e\|)\|AA^{*}e\| \le 4\|A^{*}Ae\|\|e\| = 4\|A^{2}e\|$$

So the norms of normal operator A and its adjoint operator A^* on any element of Banach space coincide, then, that is thus, $||A^*(Ae)|| = ||A(Ae)|| = ||A^2e||$ Theorem 6 is proven.

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Definition 4. Maximum unit vector f of bounded operator A in Banach space is called such unit vector ffor which the maximum value of operator A on unit vectors is reached ||A f|| = |M|, where ||A|| = |M|.

Theorem 7. In Banach space each normal completely continuous operator A has a maximum vector. Proof. The proof is similar to the proof of similar statement in Hilbert space.

We choose a convergent sequence, $y_n = Ax_n$, where $||x_n|| = 1$, $n = 1, 2, \dots$ such that $\lim ||Ax_n|| = ||A||$.

We substitute that vector $z = \frac{1}{M}y$ is the desired maximum vector. Because, operator A is bounded

$$Az = \lim_{n \to \infty} A\left(\frac{y_n}{M}\right) = \lim_{n \to \infty} A\left(\frac{Ax_n}{M}\right)$$
(21)

Vectors $\frac{Ax_n}{M}$ belong to the unit sphere, consequently, their legth $A\left(\frac{Ax_n}{M}\right)$ is not more than |M|. Taking

into account the statement of the Theorem 6 we get, that

$$|M| > | || \left(\frac{Ax_n}{M} \right)|| = \frac{1}{|M|} ||A^2 x_n|| \ge \frac{1}{|M|} ||Ax_n||^2 \to |M|$$
(22)

Compare (22) and (21), we have, that Z is maximum vector of operator A. Theorem 7 is proven.

Theorem 8. If the operator A^2 has eigenvector with the eigenvalue M^2 , then operator A has the eigenvector with the eigenvalue +M and -M .

Proof. The $A^2 e = M^2 e$ may be written in the form (A+ME)(A-ME)e = 0 (E – unit operator).

If (A - ME)e = z, then Z is eigenvector of operator A with eigenvalue -M. If $A^2 e = M^2 e$ is written in the form (A - ME)(A + ME)e = 0, then operator A in Banach

space has the eigenvalue +M. Thus at conditions of the Theorem 8 operator A has the eigenvalues +Mand -M.

Remarks. Theorem 6 and Theorem 8 are the generalizations of similar results for self-adjoint operators in Hilbert space [2](pp.195).

The example of normal bounded analoque operator in direct sum l_1^n of n copies of Banach space l_1 , defined by the matrice

$$A = \begin{pmatrix} 0 & 0 & 0 & \dots & 1 \\ 1 & 0 & 0 & \dots & 0 \\ 0 & 1 & 0 & \dots & 0 \\ \vdots & \vdots & \ddots & \dots & 0 \\ 0 & 0 & 0 & \dots & 1 & 0 \end{pmatrix}^{T}$$
$$x = (x_{1}, \dots, x_{n}), \ y = (y_{1}, \dots, y_{n})$$

The adjoint operator to the operator A has the form

$$A^* = \begin{pmatrix} 0 & 1 & 0 & \dots & 0 \\ 0 & 0 & 1 & \dots & 0 \\ 0 & 0 & 0 & 1 \dots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 1 & 0 & 0 & \dots & 0 \end{pmatrix}.$$

It is not difficult to state that $AA^* = A^*A$ and (11) is fulfilled. Really, $Ax + ty = (x_n + ty_1, x_1 + ty_2, ..., x_{n-1} + ty_n)$ $x + tA^*y = (x_1 + ty_2, x_2 + ty_3, ..., x_n + ty_1)$, and, consequently, $||Ax + ty||^2 = ||x + tA^*y||^2$. Similar $||Ax - ty||^2 = ||x - tA^*y||^2$.

In conclusion I would like to thank my collegue N.Q. Vagabov for his advice to continue my research from [1], and tointroduce the class of normal operators in Banach space. I also thanked him for interesting discussion of results.

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